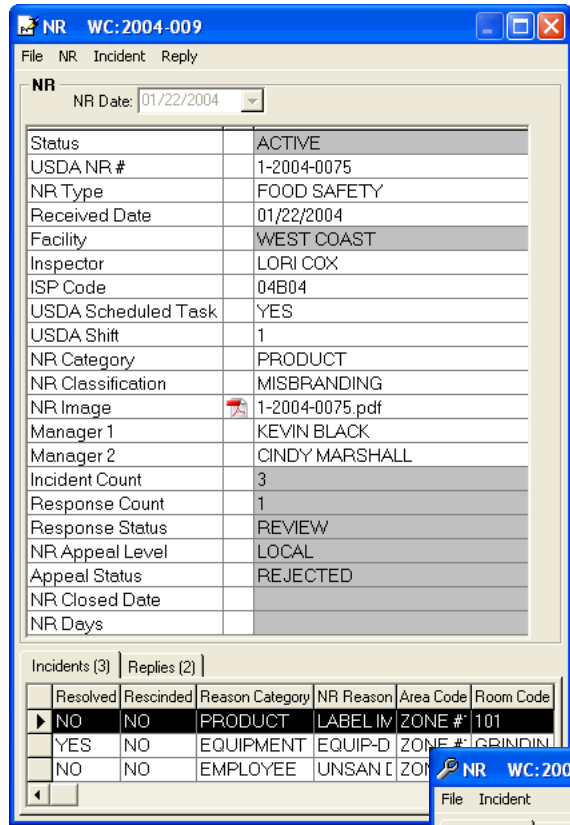


The LabSoft LIMS USDA NR module is an intuitive tool for managing USDA FSIS Noncompliance Records (NR) Form 5400-4. It provides a flexible method to store, access, manage and report all facets of an NR.



Status	ACTIVE
USDA NR #	1-2004-0075
NR Type	FOOD SAFETY
Received Date	01/22/2004
Facility	WEST COAST
Inspector	LORI COX
ISP Code	04B04
USDA Scheduled Task	YES
USDA Shift	1
NR Category	PRODUCT
NR Classification	MISBRANDING
NR Image	1-2004-0075.pdf
Manager 1	KEVIN BLACK
Manager 2	CINDY MARSHALL
Incident Count	3
Response Count	1
Response Status	REVIEW
NR Appeal Level	LOCAL
Appeal Status	REJECTED
NR Closed Date	
NR Days	

Resolved	Rescinded	Reason Category	NR Reason	Area Code	Room Code
NO	NO	PRODUCT	LABEL IM	ZONE #	101
YES	NO	EQUIPMENT	EQUIP-D	ZONE #	GRINDING
NO	NO	EMPLOYEE	UNSANI	ZO	

FSIS Directive 5400.5 – Supports FSIS Directive 5400.5 (part of Pathogen Reduction-Hazard Analysis and Critical Control Point (HACCP) Systems final rule July 1996).

NR Incidents – Track and analyze the individual incidents contained within an NR. This allows you to focus on the root-cause(s) and determine the reasons, circumstances and responsible parties.

Encapsulation – Store and view all the related documents including: image of the original 5400-4, Responses and Appeals, USDA replies and any supporting attachments. Supports any format including Word®, Excel®, Adobe® PDF, etc.

Correspondence Standardization – Use configurable templates to standardize your Responses and Appeals. Easily setup template fields that will auto populate from your database.

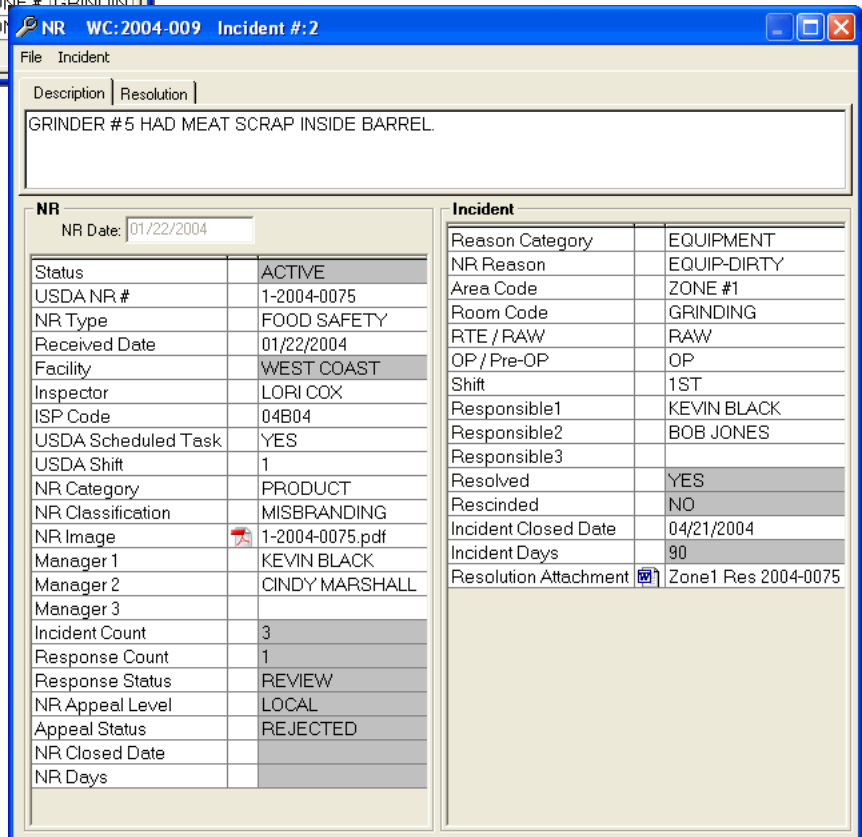
Security – Role-based and Facility based security.

On-Line Review – Allow on-line review of the original NR along with all Responses and Appeals before they are sent to the USDA.

Auto Notification – Automatically send E-mails and/or Notices to responsible “stake-holders” when an NR reaches certain stages such as:

- New NR received
- Response/Appeal waiting for internal review
- Internal Reviewer has completed review
- Response/Appeal is ready to submit to the USDA
- USDA has responded

User Configurable – Add your own fields and codes.



NR WC:2004-009 Incident #:2

Description | Resolution

GRINDER #5 HAD MEAT SCRAP INSIDE BARREL.

NR Date:	01/22/2004
Status	ACTIVE
USDA NR #	1-2004-0075
NR Type	FOOD SAFETY
Received Date	01/22/2004
Facility	WEST COAST
Inspector	LORI COX
ISP Code	04B04
USDA Scheduled Task	YES
USDA Shift	1
NR Category	PRODUCT
NR Classification	MISBRANDING
NR Image	1-2004-0075.pdf
Manager 1	KEVIN BLACK
Manager 2	CINDY MARSHALL
Manager 3	
Incident Count	3
Response Count	1
Response Status	REVIEW
NR Appeal Level	LOCAL
Appeal Status	REJECTED
NR Closed Date	
NR Days	

Reason Category	EQUIPMENT
NR Reason	EQUIP-DIRTY
Area Code	ZONE #1
Room Code	GRINDING
RTE / RAW	RAW
OP / Pre-OP	OP
Shift	1ST
Responsible1	KEVIN BLACK
Responsible2	BOB JONES
Responsible3	
Resolved	YES
Rescinded	NO
Incident Closed Date	04/21/2004
Incident Days	90
Resolution Attachment	Zone1 Res 2004-0075

Example User Configured Reports:

Noncompliance Records

Start Date: Thursday, January 1, 2004 End Date: Saturday, July 31, 2004

NR DATE	NR#	USDA NR#	STATUS	RESPONSE	APPEAL	ISP CODE	USDA CAT	USDA CLASS
EAST COAST								
D1/23/04	EC:2004-001	1-2004-5601	REJECTED	WORKING		03AD1	PRODUCT	MISBRANDING
Inc#1 OFFICE CONFERENCE SHIFT:3RD EMPLOYEE IMPROPER DRESS CODE DOUG HENRY								
EAST COAST								
D2/02/04	EC:2004-002							
Inc#1 WAREHOUSE BLDG #1								
EAST COAST								
D1/01/04	EC:2004-003							
Inc#1 WAREHOUSE BLDG #2								
EAST COAST								
D1/05/04	EC:2004-004							
Inc#1 ZONE #1 101								
MIDWEST								
D1/07/04	EC:2004-005							
Inc#1 ZONE #1 101								
WEST COAST								
D1/09/04	EC:2004-006							
Inc#1 ZONE #1 101								
ALL FACILITIES								
D1/16/04	EC:2004-007							
Inc#1 ZONE #1 101								
ALL FACILITIES								
D1/19/04	EC:2004-008							
Inc#1 ZONE #1 101								

Thursday, January 6, 2005

Noncompliance Records By Fiscal Period

Fiscal 2004 Fiscal Period: 07

Facility	2004 01	2004 02	2004 03	2004 04	2004 05	2004 06	2004 07	2004 08	2004 09	2004 10	2004 11	2004 12	2004 Total	2003 07	2003 Total
EAST COAST	8	5	6	0	5	2	13	0	0	0	0	0	39	5	32
MIDWEST	8														
WEST COAST	7														
ALL FACILITIES	23														

Unresolved Incidents

Start Date: Thursday, January 1, 2004 End Date: Friday, December 31, 2004

NR DATE	NR#	USDA NR#	STATUS	RESPONSE	APPEAL	ISP CODE	USDA CAT	USDA CLASS
EAST COAST								
D1/23/04	EC:2004-001	1-2004-5601	ACTIVE	REJECTED	WORKING	03AD1	PRODUCT	MISBRANDING
Inc#1 OFFICE CONFERENCE SHIFT:3RD EMPLOYEE IMPROPER DRESS CODE DOUG HENRY DAVE HALL								
MISBRANDING								
CINDY MARSHALL								
MISBRANDING								
DAVE HALL								
PRODUCT								
CINDY MARSHALL KEVIN BLACK								
PRODUCT								
BOB JONES DOUG HENRY								
PRODUCT								
CINDY MARSHALL								
MONITORING								
CARLA CRUMP								
PRODUCT								
DOUG HENRY								

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Top 5 NR Reasons

Facility: <All>

Starting Period: 2004-01 Ending Period: 2004-12

Reason	Count
EQUIP-DIRTY	1
RECORDS INCOMPLETE	1
Others	1
(Unlabeled)	1

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Noncompliance Records Summary

Start Date: Thursday, January 1, 2004 Stop Date: Friday, December 31, 2004

EAST COAST			
NR Status	Appeal Level	Appeal Status	Appeal Statistics
Closed	Local	Approved	3 Of NRs Of Appeals
Rescinded	Circuit	Rejected	1 Appealed: 29.2%
Active	District	Working	1 Approved: 12.5% 42.9%
Response	Natl Regulatory	Submitted	1 Rescinded: 8.3% 28.6%
Appealed	Natl Field	Review	1 Modified: 4.2% 14.3%
Total NRs	Total Appeals	Total Appeals	7
Incidents			
Total Incidents:	Rescinded:	3	11.5%
Incident/NR:	Resolved:	1	3.8%
MIDWEST			
NR Status	Appeal Level	Appeal Status	Appeal Statistics
Closed	Local	Approved	1 Of NRs Of Appeals
Rescinded	Circuit	Rejected	1 Appealed: 33.3%
Active	District	Working	1 Approved:
Response	Natl Regulatory	Submitted	1 Rescinded:
Appealed	Natl Field	Review	1 Modified:
Total NRs	Total Appeals	Total Appeals	3
Incidents			
Total Incidents:	Rescinded:		
Incident/NR:	Resolved:		

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